

09-08-00

A

UTILITY PATENT APPLICATION TRANSMITTAL
(for Noncontinuing, Nonprovisional
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. FR9-1999-0112-US1

09/07/00
JC498 U.S. PTO

Box PATENT APPLICATION
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing
under 37 C.F.R. §1.53(b) is the
nonprovisional, noncontinuing
patent application for:

Title: SYSTEM AND METHOD FOR DOWNLOADING A
VIRUS-FREE FILE CERTIFICATE FROM A FILE
SERVER

First Named Inventor or
Application Identifier: Oliver HERICOURT
et al.

- (X) 28 pages of the specification (including cover sheet and claims) are enclosed.
- (X) 4 sheet(s) of drawings are enclosed. () Formal (X) Informal
- (X) An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- () The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- (X) An Assignment(s) of the invention to International Business Machines Corporation, and cover sheet are enclosed.
- (X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s) is enclosed.
- () A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).
- () An Information Disclosure Statement is enclosed.
- () A Form PTO-1449 is enclosed.
- () References (copies) listed on the Form PTO-1449 are enclosed.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EJ671469500US

Date of Deposit September 7, 2000

I hereby certify that this paper or fee is being deposited with the United States-Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Charles W. Peterson, Jr.

(Typed or printed name of person mailing)

(Signature of person mailing)

JC675 U.S. PTO
09/07/00
968996

- (X) A Return Receipt Postcard is enclosed (MPEP §503).
- (X) Priority of application number 00 /00480008.2 filed on January 6, 2000 in European Patent Office is claimed under 35 U.S.C. §119.
- (X) A certified copy of the priority document is enclosed.
- () A MicroFiche Computer Program (Appendix) is enclosed.
- () A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- () A Computer Readable Copy is enclosed.
- () A Paper Copy (Identical to Computer Copy) is enclosed.
- () A Statement Verifying Identity of above Copies is enclosed.
- (X) The filing fee is calculated below:
- | <u>Fee Calculation For Claims As Filed</u> | | | |
|--|-----------|------------------|-------------------------------|
| (a) Basic Fee | | | \$ 690.00 |
| (b) Independent Claims | <u>4</u> | - 3 = <u>1</u> | x \$ 78.00 = \$ <u>78.00</u> |
| (c) Total Claims | <u>42</u> | - 20 = <u>22</u> | x \$ 18.00 = \$ <u>396.00</u> |
| (d) Fee for Multiply Dependent Claims | | | \$260.00 \$ <u> </u> |
| Total Filing Fee | | | \$ <u>1164.00</u> |
- A Statement(s) of Status as Small Entity is enclosed, reducing the Filing Fee by half to: \$
- (X) A check in the amount of \$ 1164.00 to cover the filing fee is enclosed.
- () Charge \$ to Deposit Account No. 06-1135.
- () The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
- () A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

0655896-090700

- (X) Also enclosed: Certified Priority Document
Preliminary Amendment
- (X) Address all future communications to Customer Number 22242.



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9-7-00

(Date)

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Registration No. 34,406